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<h3 style="text-align: center;">FEE TRANSMITTAL</h3> <p style="text-align: center;">Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		<b>Complete if Known</b>		
		Application Number	09/188,708	
TOTAL AMOUNT OF PAYMENT		(\$)0.00	Filing Date	November 10, 1998
			First Named Inventor	Sanjiv Garg
			Examiner Name	L. Donaghus
			Group / Art Unit	2783
			Attorney Docket Number	SP018.C4

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 19-0036 Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.19 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Making of the Notice of Allowance		3. ADDITIONAL FEES																																															
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check No. _____ <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																										
<b>FEE CALCULATION</b>		105	130	205	65	Surcharge - late filing fee or osth																																											
<b>1. BASIC FILING FEE</b> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>760</td><td>201</td><td>380</td><td>Utility filing fee</td><td></td></tr> <tr><td>106</td><td>310</td><td>208</td><td>155</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>480</td><td>207</td><td>240</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>760</td><td>208</td><td>380</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="6" style="text-align: right;">SUBTOTAL (1) (\$)</td></tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	760	201	380	Utility filing fee		106	310	208	155	Design filing fee		107	480	207	240	Plant filing fee		108	760	208	380	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1) (\$)						127	50	227	26	Surcharge - late provisional filing fee or cover sheet	
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<b>2. EXTRA CLAIM FEES</b> Total Claims 13 - 20** = 0      \$18.00 X = \$0.00 Indep. Claims 3 - 3** = 0      X \$78.00 = \$0.00 Multiple Dependent Claims _____ = _____ <small>** or number previously paid, if greater; For Reissues, see below</small> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>78</td><td>202</td><td>39</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>260</td><td>204</td><td>130</td><td>Multiple dependant claim</td></tr> <tr><td>108</td><td>78</td><td>209</td><td>39</td><td>**Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (2) (\$) 0.00</td></tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	78	202	39	Independent claims in excess of 3	104	260	204	130	Multiple dependant claim	108	78	209	39	**Reissue independent claims over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent	SUBTOTAL (2) (\$) 0.00					139	130	139	130	Non-English specification								
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		112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																											
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		115	110	215	55	Extension for reply within first month																																											
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		*Reduced by Basic Filing Fee Paid																																															
		SUBTOTAL (3) (\$)																																															

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	ROBERT SOKOHL	Reg. Number	38,013
Signature		Deposit Acct. User ID	
Date	May 20, 1999		

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.

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See 37 C.F.R. §§ 1.27 and 1.28.

**Complete if Known**

Application Number	03/188,708
Filing Date	November 10, 1998
First Named Inventor	Sanjiv Garg
Examiner Name	To Be Assigned
Group / Art Unit	2783
Attorney Docket Number	SP018.C4

TOTAL AMOUNT OF PAYMENT (\$)0.00

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:  
 Deposit Account Number: 19-0036  
 Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.  
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:  Check No. \_\_\_\_\_  
 Money Order  Other\*  
 \*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

**FEE CALCULATION**

**1. BASIC FILING FEE**

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SUBTOTAL (1) (\$)					_____

**2. EXTRA CLAIM FEES**

Total Claims	Extra	Fee from below	Fee Paid	
13	- 20** = 0	\$18.00 X	= \$0.00	
Indep. Claims	3 - 3** = 0	X \$78.00	= \$0.00	
Multiple Dependent Claims _____ = _____				
** or number previously paid, if greater, For Reissues, see below				
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SUBTOTAL (2) (\$) 0.00				

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

**OFFICIAL**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
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146	780	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	_____
149	780	249	380	For each additional invention to be examined (37 CFR 1.129(b))	_____
Other fee (specify): _____					_____
Other fee (specify): _____					_____
*Reduced by Basic Filing Fee Paid					_____
SUBTOTAL (3) (\$)					_____

**SUBMITTED BY**

Typed or Printed Name: Robert S. Korn  
 Signature: *[Handwritten Signature]*  
 Date: April 26, 1999

**Complete (if applicable)**

Reg. Number: 36,013  
 Deposit Acct. User ID: \_\_\_\_\_

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

ATTORNEYS AT LAW

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OF COUNSEL

\*BAR OTHER THAN D.C.  
\*\*REGISTERED PATENT AGENTS

April 22, 1999

WRITER'S DIRECT NUMBER:  
(202) 371-2629  
INTERNET ADDRESS:  
DNEF@SKGF.COM

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Appl. No. 09/188,708; Filed: November 10, 1998  
For: RISC Microprocessor Architecture Implementing Multiple Typed  
Register Sets  
Inventors: Garg *et al.*  
Our Ref: SP018.C4

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Information Disclosure Statement (*in duplicate*);
2. Form PTO-1449 (listed references are not enclosed);
3. First Supplemental Information Disclosure Statement (*in duplicate*);
4. Form PTO-1449 (listing and enclosing forty-four (44) documents); and
5. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

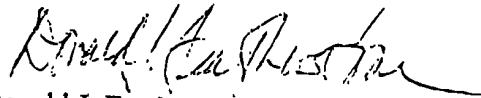
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Assistant Commissioner for Patents  
April 22, 1999  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Donald J. Featherstone  
Agent for Applicants  
Registration No. 33,876

RES/DJF/MAM/ddd  
Enclosures

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

ATTORNEYS AT LAW

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ROBERT H. BENSON<sup>\*</sup>  
OF COUNSEL

<sup>\*</sup>BAR OTHER THAN D.C.  
<sup>\*\*</sup>REGISTERED PATENT AGENTS

April 26, 1999

WRITER'S DIRECT NUMBER:  
(202) 371-2677

INTERNET ADDRESS:  
RSOKOHL@SKGF.COM

**Box: Non-Fee Amendment**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Appl. No. 09/188,708; Filed: November 10, 1998  
For: **RISC Microprocessor Architecture Implementing Multiple Typed  
Register Sets**  
Inventors: Garg *et al.*  
Our Ref: SP018.C4

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Preliminary Amendment;
3. Correspondence Address Reminder; and
4. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Assistant Commissioner for Patents  
April 26, 1999  
Page 2

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Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert Sokohl  
Agent for Applicants  
Registration No. 36,013

RES/MAM/ddd  
Enclosures

P:\USERS\DDAVIS\Molly McCall\13970600004\Preliminary Amendment\PA.cvl  
SKGF Rev. 9/23/98 dew

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

ATTORNEYS AT LAW

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May 20, 1999

WRITER'S DIRECT NUMBER:

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**BOX: NON-FEE AMENDMENT**

Assistant Commissioner for Patents  
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Re: U.S. Utility Patent Application  
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\*\*REGISTERED PATENT AGENTS

August 3, 1999

WRITER'S DIRECT NUMBER:

(202) 371-2550

INTERNET ADDRESS:

EKESLER@SKGF.COM

Assistant Commissioner for Patents  
Washington, D.C. 20231**HAND CARRY**

Re: U.S. Utility Patent Application  
 Appl. No. 09/188,708; Filed: November 10, 1998  
 For: RISC Microprocessor Architecture Implementing Multiple Typed Register Sets  
 Inventors: Garg *et al.*  
 Your Ref: SP018.C4  
 Our Ref: 1397.0600004/RES/MAM

Sir:

Applicants resubmit the following documents filed on April 22, 1999, April 26, 1999 and May 20, 1999 for appropriate action by the U.S. Patent and Trademark Office:

1. **Filed on April 22, 1999:**  
 Copy of Transmittal Letter;  
 Copy of Information Disclosure Statement;  
 Copy of Form PTO-1449 (listing twenty-four (24) documents (copies not enclosed));  
 Copy of First Supplemental Information Disclosure Statement;  
 Copy of Form PTO-1449 (listing forty-four (44) documents (copies not enclosed)); and  
 Copy of date-stamped postcard.
2. **Filed on April 26, 1999:**  
 Copy of Transmittal Letter;  
 Copy of Fee Transmittal Form PTO/SB/17;  
 Copy of Preliminary Amendment;  
 Copy of Correspondence Address Reminder; and  
 Copy of date stamped postcard

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Assistant Commissioner for Patents

August 3, 1999

Page 2

3. *Filed on May 20, 1999:*  
Copy of Transmittal Letter;  
Copy of Fee Transmittal Form PTO/SB/17;  
Copy of Second Preliminary Amendment;  
Copy of Second Supplemental Information-Disclosure Statement;  
Copy of Form PTO-1449 (listing nine (9) documents (copies not enclosed)); and  
Copy of date-stamped postcard.
  
4. One (1) return postcard.

It is respectfully requested that, the attached postcard be stamped with the filing date of these documents and returned to our courier. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

  
Edward J. Kessler

Attorney for Applicants

Registration No. 25,688

RES/EJK/MAM/ddd

Enclosures

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

ATTORNEYS AT LAW

1100 New York Avenue, N.W., Suite 600  
Washington, D.C. 20005-3934

**Facsimile Cover Sheet**

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GROUP 1 700

urgent  return reply requested  original will be sent as confirmation

DATE: September 15, 1999

PHONE NO.: (703) 306-5404

PAGES: 47 (including this cover sheet)

TO: United States Patent and Trademark Office  
Group Art Unit 2783

ATTN: Examiner Larry D. Donaghue

FROM: Molly A. McCall *MAW*

RE: U.S. Utility Patent Application  
Appl. No. 09/188,708; Filed: November 10, 1998

For: **RISC Microprocessor Architecture Implementing Multiple Typed Register Sets**  
Inventors: *Garg et al.*

OUR REF: SP018.C4

**OFFICIAL**

**MESSAGE**

Pursuant to our telephone conversation this morning, enclosed are the following documents we resubmitted for filing at the PTO on August 3, 1999:

- Filed on April 22, 1999:** Copy of Transmittal Letter; Copy of Information Disclosure Statement; Copy of Form PTO-1449 (listing twenty-four (24) documents (copies not enclosed)); Copy of First Supplemental Information Disclosure Statement; Copy of Form PTO-1449 (listing forty-four (44) documents (copies not enclosed)); and Copy of date-stamped postcard.
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- Filed on May 20, 1999:** Copy of Transmittal Letter; Copy of Second Supplemental Information Disclosure Statement; Copy of Form PTO/SB/17; Copy of Second Preliminary Amendment; Copy of Second Supplemental Information Disclosure Statement; Copy of Form PTO-1449 (listing nine (9) documents (copies not enclosed)); and Copy of date-stamped postcard.
- Copy of date-stamped postcard.

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents were facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

*Molly A. McCall*  
Name

*Sept. 15, 1999*  
Date

*Please Sign and Return this Page as Acknowledgment of Receipt*

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(202) 218-2618

FACSIMILE NUMBER  
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Due Date: None

Applicant: Garg *et al.*

Art Unit: 2783

Examiner: L. Donaghy

Application No.: 09/188,708

Docket: SP018.04

Filed: November 10, 1998

Atty: RES/MAM (1397000004)

For: RISC Microprocessor Architecture Implementing Multiple Typed Register Sets

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5. One (1) return postcard.

HAND CARRY

Applicant: Garg *et al.*

Application No.: 09/188,708

Filed: November 10, 1998



Due Date: None

Art Unit: 2783

Examiner: *To Be Assigned*

Docket: SP018.C4

Atty: RES/MAM  
(1397.0600004)

For: **RISC Microprocessor Architecture Implementing Multiple Typed Register Sets**

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**BOX: NON-FEE AMENDMENT**

**PLEASE DATE STAMP AND RETURN TO OUR COURIER**

Due Date: None

Applicant: Garg *et al.*

Art Unit: 2783

Examiner: *To Be Assigned*

Application No.: 09/188,708

Docket: SP018.C4

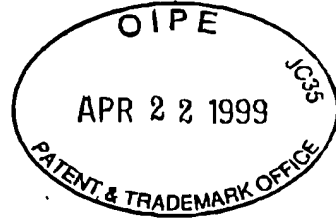
Filed: November 10, 1998

Atty: RES/DJF/MAM  
(1397.0600004)

For: RISC Microprocessor Architecture Implementing Multiple Typed Register Sets

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Applicant: Garg *et al.*  
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